### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Atty. Docket No.: 295.009US3

Serial No. Unknown

Applicant: David J. Grainger et al.

Filing Date: Herewith

Group: Unknown

#### U.S. PATENT DOCUMENTS

			U.S. PATENT DOCUMENTS			0 5
**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
The	_ 2,914,563	11/24/1959	Allen et al.	260	570	<del>408/06/57</del>
71	_ 3,010,965	11/28/1961	Elpern	260	293	02/05/57
	_ 3,168,565	02/02/1965	Palopoli et al.	260	570.7	11/20/5 <mark>9</mark>
	_ 3,288,806	11/29/1966	DeWald et al.	260	326.5	03/23/64
	_ 3,634,517	01/11/1972	Palopoli et al.	260	590	08/19/48
	_ 3,940,422	02/24/1976	Harita et al.	260	340	01/17/14
	_ 4,070,484	01/24/1978	Harita et al.	424	319	12/21/76
	_4,093,709	06/06/1978	Sok Choi et al.	424	19	01/28/75
	_ 4,133,814	01/09/1979	Jones et al.	260	326	09/17/76
	_ 4,230,862	10/28/1980	Suarez et al.	546	237	08/03/78
	_ 4,235,988	11/25/1980	Fildes et al.	528	79	05/31/79
	_ 4,239,778	12/16/1980	Venton et al.	424	305	09/12/78
	_ 4,307,111	12/22/1981	Crawley	424	278	04/29/80
	_ 4,323,707	04/06/1982	Suarez et al.	564	324	05/12/80
	_ 4,380,635	04/19/1983	Peters	546	324	05/12/80
	_ 4,418,068	11/29/1983	Jones	424	267	12/16/81
	_ 4,442,119	04/10/1984	Magarian et al.	424	274	07/26/82
	_ 4,512,762	04/23/1985	Spears	604	21	11/23/82
	_ 4,536,516	08/20/1985	Harper	514	574	04/17/84
	_ 4,675,189	06/23/1987	Kent et al.	424	490	02/08/85
	_ 4,696,949	08/29/1987	Toivola et al.	514	648	05/25/83
	_ 4,824,436	04/25/1989	Wolinsky	604	53	04/21/87
	_ 4,826,672	05/02/1989	Milius et al.	424	1	06/07/85
	_ 4,835,002	05/30/1989	Wolf et al.	426	590	07/10/87
	_ 4,839,155	06/13/1989	Mccague	424	1	09/09/87
	_ 4,859,585	08/22/1989	Sonnenschein et al.	435	29	04/17/86
	_ 4,879,315	11/07/1989	Magarian et al.	514	754	09/21/87
	_ 4,929,602	05/29/1990	Harker et al.	514	18	11/22/88
	_ 4,962,091	10/09/1990	Eppstein et al.	514	2	05/23/86
	_ 4,968,350	11/06/1990	Bindschaedler et al.	106	170	04/01/88
	_ 4,973,755	11/27/1990	Grafe et al.	564	324	04/19/88
	_ 4,990,538	02/05/1991	Harris et al.	514	648	08/23/89
$\overline{}$	_ 4,994,033	02/19/1991	Shockey et al.	604	101	05/29/89
	_ 4,996,225	02/26/1991	Toivola et al.	514	428	05/25/83
	_ 4,997,652	03/05/1991	Wong	424	428	05/31/89
	_ 5,008,279	04/16/1991	Franckowiak et al.	514	345	10/27/88
	_ 5,000,275	04/23/1991	Hamlin et al.	606	159	10/30/89
V	_ 5,005,666	05/14/1991	Magarian et al.	514	754	09/22/89
		00, 11, 1001				•

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<sup>\*</sup>Substitute Disclosure Statement Form (PTO-1449)

\*\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate			
1									
1/0	5,026,537	06/25/1991	Daddona et al.	424	1.1	O5/06/89			
	5,032,679	07/16/1991	Brandley et al.	536	21	08/31/89			
	5,043,335	08/27/1991	Kleinschroth et al.	514	211	06/23/90			
	5,047,431	09/10/1991	Schickaneder et al.	514	648	05/14/90			
	_ 5,049,132	09/17/1991	Schaffer et al.	604	101	01/08/90			
	5,053,033	10/01/1991	Clarke et al.	606	3	10/10/90			
	5,059,166	11/22/1991	Fischell et al.	600	3	12/11/89			
·	5-,-066-, 7-8.9	11/19/1991	Srinivasan et al.	530	388	09/29/89			
	5,075,321	12/24/1991	Schreiber	514	317	02/-2 <b>#</b> -/-88			
	5,098,903	03/24/1992	Magarian et al.	514	255	11/05/89			
	5,102,402	04/07/1992	Dror et al.	604	265	01/ <b>0</b> 4/91			
	5,108,989	05/28/1932	Amento et al.	514	12	04/04/90			
1:	5,112,305	05/12/1992	Barath et al.	704	96	10/16/90			
	5,114,719	05/19/1992	Sabel et al.	424	422	09/14/89			
	5,116,864	05/26/1992	March et al.	514	455	04/þ9/91			
	_ 5,120,535	06/09/1992	Marquardt et al.	424	85.5	05/04/87			
	5,140,012	08/18/1992	McGovern et al.	514	19	05/β1/90			
	5,166,143	11/24/1992	Ondetti et al.	514	89	06/13/91			
	_ 5,171,217	12/15/1992	March et al.	604	53	02/28/91			
	_ 5,176,617	01/05/1993	Fischell et al.	600	3	11/21/91			
	5,180,366	01/19/1993	Woods	604	96	10/10/90			
	_ 5,185,260	02/09/1993	Crissman et al.	435	244	08/29/91			
	5,189,046	02/23/1993	Burch et al.	514	330	08/14/90			
	5,189,212	02/23/1993	Ruenitz	562	468	09/07/90			
	5,192,525	03/09/1993	Yang et al.	424	11	06/28/91			
	_ 5,199,939	04/06/1993	Dake et al.	600	3	02/23/90			
	_ 5,208,019	05/05/1993	Hanson et al.	424	85	09/27/89			
	_ 5,213,576	05/25/1993	Abiuso et al.	604	96	06/11/91			
	_ 5,213,580	05/25/1993	Slepian et al.	623	1	03/25/92			
	5,216,126	06/01/1993	Cox et al.	530	350	06/19/91			
	5,219,548	06/15/1993	Yang et al.	424	1	10/01/90			
	5,221,620	06/22/1993	Purchio et al.	435	69	12/05/89			
	_ 5,226,430	07/13/1993	Spears et al.	128	898	05/24/91			
	5,229,495	04/20/1993	Ichijo et al.	530	350	06/18/91			
	_ 5,232,444	08/03/1993	Just et al.	604	96	02/25/91			
	_ 5,238,714	08/24/1993	Wallace et al.	427	213	10/02/90			
1	5,238,950	08/24/1993	Clader et al.	514	360	1 <b>2</b> /17 <u>/91</u>			
X	5,242,397	09/07/1993	Barath et al.	604	96	01/02/92			
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\*Substitute Disclosur Statement Porm (PTO-1449)

<sup>\*\*</sup>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS								
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
1								
1//	_ 5,248,764	09/28/1993	Flanagan et al.	530	324	02/27/92		
1	_ 5,254,594	10/19/1993	Niikura et al.	514	648	04/08/92		
	_ 5,268,358	12/07/1993	Fretto et al.	514	12	05/06/91		
	_ 5,270,047	12/14/1993	Kauffman et al.	424	422	11/21/91		
	_ 5,280,016	01/18/1994	Conrad et al.	514	56	09/03/91		
	_ 5,280,109	01/18/1994	Miyazono et al.	530	399	01/27/92		
	_ 5,282,785	02/01/1994	Shapland et al.	604	21	10/05/92		
	_ 5,283,257	02/01/1994	Gregory et al.	514	458	07/10/92		
	_ 5,284,763	02/08/1994	Derynk et al.	435	240	03/04/92		
	_ 5,284,869	02/08/1994	Bisaccia et al.	514	455	12/17/91		
	_ 5,288,711	02/22/1994	Mitchell et al.	514	56	04/28/92		
	_ 5,296,492	03/22/1994	Shiozawa et al.	514	337	06/03/92		
	_ 5,304,325	04/19/1994	Kaufman et al.	252	312	11/13/91		
	_ 5,304,541	04/19/1994	Purchio et al.	514	12	03/14/91		
	_ 5,308,622	05/03/1994	Casscells et al.	424	422	07/16/92		
	_ 5,308,862	05/03/1994	Ohlstein et al.	514	411	03/05/93		
$\neg r$	_ 5,314,679	05/24/1994	Lewis et al.	424	9	12/22/92		
$\neg$	_ 5,316,766	05/31/1994	Baldus et al.	424	94	02/06/90		
	_ 5,324,736	06/28/1994	Magarin et al.	514	317	08/16/93		
	_ 5,321,750	07/05/1994	Demopoulos	514	167	08/18/93		
	_ 5,328,471	07/12/1994	Slepian	604	101	08/04/93		
	_ 5,320,171	07/26/1994	Scher et al.	424	408	12/09/91		
	_ 5,340,925	08/23/1994	Lioubin et al.	530	395	06/17/92		
	_ 5,346,702	09/13/1994	Na et al.	424	490	12/04/92		
	_ 5,346,993	09/13/1994	Miyazono et al.	530	399	07/15/93		
	_ 5,354,562	10/11/1994	Platz et al.	424	489	01/16/93		
	_ 5,354,774	10/11/1994	Deckelbaum et al.	514	455	12/24/92		
	_ 5,354,801	10/11/1994	O'Toole et al.	524	461	08/12/93		
	_ 5,354,713	10/18/1994	Charmot et al.	428	407	06/01/93		
	_ 5,362,424	11/08/1994	Lee et al.	264	4.3	10/10/91		
	_ 5,364,632	11/15/1994	Benita et al.	424	450	03/09/93		
	_ 5,380,716	01/10/1995	Conrad et al.	514	56	12/28/92		
	_ 5,384,332	01/24/1995	Fontana	514	648	05/11/94		
	_ 5,385,935	01/31/1995	Tamai et al.	514	535	09/07/93		
	_ 5,389,670	02/14/1995	Fontana	514	443	12/21/93		
	_ 5,305,670	02/14/1995	Cullinan et al.	514	324	10/15/93		
1,	_ 5,391,337	02/21/1995	Black et al.	514	333	01/12/94		
	_ 5,393,763 _ 5,393,772	02/28/1995	Yue et al.	514	410	11/24/93		

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#### U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
1.		<u> </u>			·	
1/	_ 5,393,785	02/28/1995	Labrie et al.	514	622	07/14/92
7	_ 5,395,842	03/07/1995	Labrie et al.	514	320	12/02/91
	_ 5,401,730	03/28/1995	Sauvage et al.	514	165	07/06/90
	_ 5,418,252	05/23/1995	Williams	514	443	10/15/93
	_ 5,423,885	06/13/1995	Williams	623	1	07/14/93
	_ 5,424,331	06/13/1995	Shlyankevich	514	456	06/10/94
	_ 5,426,123	06/20/1995	Fontana	514	651	05/11/94
	_ 5,429,634	07/04/1995	Narcisco Jr.	604	890.1	09/09/\$3
	_ 5,434,166	07/18/1995	Glasebrook	514	317	08/22/94
	_ 5,436,243	07/25/1995	Sachs et al.	514	231	11/17/93
·	_ 5,439,923	08/08/1995	Cullinan	514	324	12/21/93
	_ 5,439,931	08/08/1995	Sales	514	443	12/21/93
	_ 5,441,947	08/15/1995	Dodge et al.	514	179	08/25/93
	_ 5,441,964	08/15/1995	Bryant et al.	514	324	10/15/93
	_ 5,441,965	08/15/1995	Sall et al.	514	324	12/21/93
	_ 5,441,986	08/15/1995	Thompson	514	648	07/19/94
	_ 5,444,164	08/22/1995	Purchio et al.	536	23.5	05/04/92
	_ 5,446,053	08/29/1995	Keohane	514	324	12/21/93
	_ 5,447,941	09/05/1995	Zuckerman	514	324	12/21/93
	_ 5,453,442	09/26/1995	Bryant et al.	514	408	02/18/94
	_ 5,455,275	10/03/1995	Fontana	514	648	05 <b>/</b> 11/94
	_ 5,457,113	10/10/1995	Cullinan et al.	514	319	10/15/93
	_ 5,457,116	10/10/1994	Black et al.	514	324	10/15/93
	_ 5,457,117	10/10/1995	Black et al.	514	337	10/26/94
	_ 5,458,568	10/17/1995	Racchini et al.	604	19	09/17/93
	_ 5,462,937	10/31/1995	Cullinan et al.	514	212	04/14/95
	_ 5,466,810	11/14/1995	Godfrey	546	202	05/10/94
	_ 5,468,746	11/21/1995	Casagrande et al.	514	235.5	05/21/91
	_ 5,470,883	11/28/1995	Stromberg	514	648	05/23/94
	_ 5,472,985	12/05/1995	Grainger et al.	514	651	09/02/94
	_ 5,478,847	12/26/1995	Draper	514	333	<b>Ø</b> 3/02/94
	_ 5,480,888	01/02/1996	Kodama et al.	514	320	06/11/94
	_ 5,480,904	01/02/1996	Bryant et al.	514	443	10/28/93
	_ 5,482,949	01/09/1996	Black et al.	514	324	03/19/93
	_ 5,482,950	01/09/1996	Bryant et al.	514	324	10/15/93
	_ 5,484,795	01/16/1996	Bryant et al.	514	319	09/20/94
11/	5,484,796	01/16/1996	Bryant et al.	514	319	04/21/95
W	_ 5,484,798	01/16/1996	Bryant et al.	514	324	09/20/94

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<sup>\*</sup>Substitute Disclosure Statement Form (PTO-1449)

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U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
1.						
(N	5,484,808	01/16/1996	Grinnell	514	443	02/09/957
-//	_ 5,484,808	02/06/1996	Fontana	514	233.5	01/20/95
-/-	_ 5,489,387	02/03/1996	Malamas	514	374	08/30/94
	_ 5,491,139	02/13/1996	Toivola et al.	514	648	05/25/83
	_ 5,491,173	02/20/1996	Bryant et al.	514	324	09/20/94
		02/20/1996	Palkowitz	514	324	02/28/95
$\neg f$	_ 5,492,922		Cullinan et al.	514	422	10/15/93
	_ 5,492,926	02/20/1996	Gitter et al.	514	443	12/21/93
	_ 5,492,927	02/20/1996	-		324	08/22/94
	_ 5,496,828	03/05/1996	Cullinan	514		
	_ 5,498,775	03/12/1996	Novak et al.	514	25	11/07/94
	_ 5,508,292	04/16/1996	Sall et al.	514	324	04/14/95
	_ 5,510,370	04/23/1996	Hock et al.	514	443	03/06/95
	_ 5,516,781	05/14/1996	Morris et al.	514	291	05/12/94
	_ 5,516,807	05/14/1996	Hupe et al.	514	673	10/25/94
	_ 5,519,042	05/21/1996	Morris et al.	514	378	01/13/94
	_ 5,521,171	05/28/1996	Sorenson	514	188	03/24/\$5
	_ 5,521,191	05/28/1996	Greenwald	514	262	10/17/ <b>9</b> 4
	_ 5,521,198	05/28/1996	Zuckerman	514	324	12/21/93
	_ 5,527,337	06/18/1996	Stack et al.	606	198	02/01/91
	_ 5,534,527	07/09/1996	Black et al.	514	333	04/14 <mark>/</mark> 95
	_ 5,541,174	07/30/1996	Sorenson	514	186	01/18/95
	_ 5,545,409	08/13/1996	Laurencin et al.	424	426	04/0\$/94
	_ 5,545,569	08/13/1996	Grainger et al.	436	518	05/25/95
	_ 5,552,415	09/03/1996	May	514	324	12/21/93
	_ 5,567,713	10/22/1996	Cullinan et al.	514	324	01/ <b>d</b> 9/95
	_ 5,563,054	10/08/1996	Briggs et al.	435	127	03/\$1/95
	_ 5,571,714	11/05/1996	Dasch et al.	435	240.27	12/22/88
	_ 5,574,047	11/12/1996	Bumol et al.	514	324	12/21/93
	_ 5,595,722	01/21/1997	Grainger et al.	424	9.2	06/07/95
	_ 5,597,578	01/28/1997	Brown et al.	424	422	09/11/89
	_ 5,599,844	02/04/1997	Grainger et al.	514	651	09/15/95
	_ 5,610,166	03/11/1997	Singh	514	324	03/22/95
	_ 5,622,975	04/22/1997	Singh et al.	514	324	d6/01/95
	_ 5,639,274	06/17/1997	Fischell et al.	604	96	6/02/95
	_ 5,658,927	08/19/1997	Magarian et al.	514	315	06/07/95
	_ 5,638,927	10/28/1997	Willson	514	237.5	04/25/94
1/		11/11/1997	Bumol et al.	514	324	03/15/95
N.	_ 5,686,467	03/10/1998		514	324	08/13/96
	_ 5,726,186	03/10/1338	Grese	214	341	109/13/36

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*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
Na						
(1/1/	<u></u>	06/23/1998	Grainger et al.	514	319	<del>•06/07/9/8</del>
<u> </u>	_ 5,847,007	12/08/1998	Grainger et al.	514	651	05/12 <b>/</b> 94
_1/	_ 5,945,456	08/31/1999	Grainger et al.	514	651	11/ <i>8</i> 6/9 <u>7</u>
<i>\text{\text{\$\exitt{\$\text{\$\text{\$\text{\$\text{\$\text{\$\text{\$\text{\$\text{\$\exitt{\$\text{\$\text{\$\text{\$\text{\$\text{\$\text{\$\text{\$\text{\$\exitt{\$\text{\$\text{\$\text{\$\text{\$\text{\$\text{\$\text{\$\text{\$\exitt{\$\text{\$\}}}}}}}}}}}}}}}}}}}}}}}}}}}}}}}}}}}}</i>	_Re. 32,944	06/06/1989	Harita et al.	562	455	06/01/77

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**Examiner			REIGN PAIENT DOC		Translation
Initial	Document Number	Date	Country	Class Su	bclass Yes No
De -	•				
1100	0 054 168	06/23/1982	European		3/06 Abstract
	0 095 875	12/07/1983	European		3/ <b>2/</b> 6
	0 260 066	03/16/1988	European		17/54
	0 365 863	05/02/1990	European		13/54
	0 374 044	06/20/1990	European		5 <b>/</b> /16
·	0 451 202	10/16/1991	European	A61K 9,	70
<u> </u>	0 542 679	05/19/1993	European		5/12
	0 577 215	01/05/1993	European		/14
	0 584 952	03/02/1994	European	A61K <b>3</b> :	1/445
	0 588 518	02/23/1994	European		1/165
	_ 0 606 613	07/20/1994	European	G01N /3:	3/531
	0 622 076	11/02/1994	European	A61K / 3	1/165
	0 629 697	12/21/1994	European	C12N / 19	5/63
	0 635 270	01/25/1995	European	A61K/ 38	8/29
	0 664 121	07/26/1995	European	A61K 3	1/445
	0 664 122	07/26/1995	European	A61/K 3:	1/445
	0 670 162	09/06/1995	European	A6 <b>1</b> K 3:	1/445
	0 674 903	10/04/1995	European	A6/1K 3:	1/445
	0 675 121	10/04/1995	European	C <b>∮</b> 7D 3:	33/56
	0 699 673	03/06/1996	European	<b>c/</b> 07D 3:	33/56
	1 015 787	01/05/1966	Great Britain	1	
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Form 1449\*

Atty. Docket No.: 295.009US3

Serial No. Unknown

Applicant: David J. Grainger et al.

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